3.2.11 Control of Intercollegiate Athletics

The institution’s chief executive officer has ultimate responsibility for, and exercises appropriate administrative and fiscal control over, the institution’s intercollegiate athletics program.

Compliance Judgment

_X_ Compliance    ___ Partial Compliance    ___ Non-Compliance

Narrative

The Chancellor and President of Texas Woman's University (TWU) has ultimate responsibility for, and exercises appropriate administrative and fiscal control over, TWU's intercollegiate athletics program. As the chief executive officer, the Chancellor and President is actively involved in the direction and oversight, either directly or through designated representatives, of the intercollegiate athletics program. At TWU, the designated representative is the Vice President for Student Life as evidenced in the organizational chart provided here [1] and documented in the TWU Athletics Policy and Procedures Manual, Section 2.0 [2].

The Chancellor and President’s job description documents the primary duties:

Advises and counsels with the Board of Regents on policies, purposes, and goals of the University; supervises the implementation of these policies and evaluates programs… Responsible for the design, execution, and effectiveness of University internal controls; provides reasonable assurance that University operations are efficient, assets are safeguarded, financial information is reliable, and applicable laws, regulations, policies and procedures are followed. [3]

The job description states the Chancellor and President “plans, directs, and evaluates a complex operation, using manpower, time, funds, and other resources for the accomplishment of long-term and short-term goals of the institution.” These duties include the oversight of intercollegiate athletics.

TWU is a member of the National Collegiate Athletic Association (NCAA) and the Division II Lone Star Conference, and abides by the rules and regulations of both organizations [4]. NCAA Article 2.1.1 states “the institution's president or chancellor is responsible for the administration of all aspects of the athletics program, including approval of the budget and audit of all expenditures” [5]. In accordance with the Constitution of the Lone Star Conference [6], TWU’s Chancellor and President serves as a member of the Council of Presidents, a group she chaired in 2010-2011.

NCAA Constitution, Article 6.3.1, requires that all NCAA Division II member institutions conduct a comprehensive self-study and evaluation of their intercollegiate athletics programs at least once every five years, using the Institutional Self-Study Guide [7]. Topics included in this self-study are Institutional Oversight and Institutional Control and Responsibility. A self-study conducted by TWU in 2011 confirmed compliance [8]. TWU will conduct the next self-study of its intercollegiate athletics program by June 1, 2016. In compliance with NCAA Bylaw
18.4.2.1.1 [9], the President or Chancellor, or designated representative, certifies each academic year that TWU is in compliance with NCAA rules.

**Administrative Control**

The Chancellor and President has delegated oversight of institutional and conference activities relating to intercollegiate athletics to the Director of Intercollegiate Athletics [10]. As is the case with all administrative appointees, the director is appointed by Chancellor and President and is evaluated annually by the Vice President for Student Life. The TWU Organizational Chart documents that the Director of Intercollegiate Athletics reports directly to the Vice President for Student Life, who in turn reports directly to the Chancellor and President [1]. The TWU Athletics Policy and Procedures Manual, Section 3.0, states that the director “establishes procedures to assure the compliance of Intercollegiate Athletics with the rules and regulations of the University, the NCAA and the Lone Star Conference” [11].

As described in NCAA Article 6.1.2 [12], TWU has an Athletics Council chaired by the NCAA Faculty Athletics Representative to “encourage and promote philosophically sound, educationally oriented, and competitively challenging intercollegiate athletics at TWU. The Athletics Council is established to advise the Vice President for Student Life in the development and supervision of the intercollegiate athletics program. The Athletics Council may review and make recommendations to the Vice President for Student Life, and in exceptional cases to the President of the University on appropriate matters related to the intercollegiate athletics program.” The Athletics Council consists of 12 voting members appointed by the Chancellor and President as follows: 9 faculty/staff members for one-year renewable terms appointed annually, 3 student members (1 recommended by the athletes, 1 from the University at-large appointed by the Office of Student Life and 1 appointed from the Student Government Association for one-year renewable terms), and 3 ex-officio members, including the Vice President for Finance and Administration, the Vice President for Student Life and the Director of Athletics. The Athletics Council meets at least once each semester and as needed.

As a Division II member, TWU awards both athletic and academic scholarships. The student-athletes' certification of eligibility and progress toward degree is monitored by the intercollegiate athletics Compliance Coordinator, the University Registrar, and the Director of Financial Aid.

To be eligible for NCAA championships, TWU reports admissions and graduation-rate data to the NCAA annually [13].

**Fiscal Control**

The operating budgets of intercollegiate athletics are funded by Student Service Fees. The Director of Athletics follows the established procedures of the Student Service Fee Allocation Committee in requesting the operating budgets annually. The Vice President for Student Life approves the budget requests prior to the committee hearing.

In accordance with NCAA Article 6.2.3.1 [14], at least once every three years, all expenses and revenues are subject to agreed-upon procedures conducted by a qualified outside independent
accountant. TWU most recently underwent a review and evaluation specific to the agreed-upon procedures for fiscal year 2010 [15].

TWU does not have an external foundation that has been established in support intercollegiate athletics.

Supporting Documents

[1] TWU Administrative Organizational Chart  
[3] Job description for the Chancellor and President  
[4] Lone Star Conference web site with list of members  
[5] NCAA Constitution, Article 2.1.1  
[7] NCAA Constitution, Article 6.3.1  
[8] TWU Athletics Self-Study June 1, 2011  
[9] NCAA Bylaw, Article 18.4.2.1.1.1  
[10] Athletic Director Job Descriptions  
[12] NCAA Constitution, Article 6.1.2  
[13] NCAA Bylaw, Article 18.4.2.2  
[14] NCAA Constitution, Article 6.2.3.1  