



Internal Audit

Date: February 16, 2018

To: Members of the Board of Regents

Dr. Carine Feyten, Chancellor and President

From: Ali Subhani, CIA , CISA, GSNA *Ali Subhani*

Director of Internal Audit

The Texas Internal Auditing Act requires that the Board approve the annual audit plan and deviations from the prior year plan. The FY 2018 audit plan is noted below for your approval at the Board of Regent's meeting on February 16, 2018. The plan is based on anticipated total available resource hours of 2,660.

Internal Audit proposes completion of the following projects during the current fiscal year:

Project	Total Budgeted Hours	Status At Transition	Proposal to the Board	Type of Project
Campus Alliance & Resource Education	50	Carry forward from FY 2017	Change to Consulting Review	Consulting
Scholarships	345	Carry forward from FY 2017	Plan to Complete	Operational
Precursor Chemicals and Apparatus	315	Carry forward from FY 2017	Plan to Complete	Compliance
Texas Education Code 51.9337	345	Carry forward from FY 2017	Plan to Complete	Required
School of Libraries and Information Studies	235	Carry forward from FY 2017	Plan to Complete	Operational
JAMP Audit	50	Required by External Requirements	Request Addition	Required
Public Fund Investment Act	345	Required by External Requirements	Request Addition	Required
TAC 202 Compliance	345	Required by External Requirements	Request Addition	Required
Benefits Proportionality	345	Required by External Requirements	Request Addition	Required
Initiatives and Education	430		Request Addition	Initiatives and Education

Internal Audit proposes the following revisions to the plan that was rolled forward from prior years due to a need to compete audits that are required by regulatory requirements.

Project	Total Budgeted Hours	Status At Transition	Proposal to the Board	Type of Project
Property & Surplus	365	Carry forward from FY 2017	Defer to FY 19	Financial
Swipe Card Access	345	Carry forward from FY 2017	Defer to FY 19	Information Technology
Teaching & Learning with Technology	345	Carry forward from FY 2017	Defer to FY 19	Information Technology
Oracle-Financial	395	Carry forward from FY 2017	Request Deletion	Information Technology
Academic College, School or Department	345	Carry forward from FY 2017	Request Deletion	Operational
Office of Finance & Administration	260	Carry forward from FY 2017	Request Deletion	Operational
Office of the Chancellor & President	235	Carry forward from FY 2017	Request Deletion	Operational
Office of the General Counsel	260	Carry forward from FY 2017	Request Deletion	Operational