

ANNUAL TRACKING REPORT FOR INVESTMENT REPORTING TO THE STATE AUDITOR'S OFFICE (SAO) BY HIGHER EDUCATION INSTITUTIONS

SUBMIT TO THE SAO BY DECEMBER 31 (see submission instructions below)

For additional information about the SAO's requirements for higher education reporting, see www.sao.state.tx.us/services.html

Name and State Agency Number of Higher Education Institution		Texas Woman's University - 731
Annual Tracking Report for Fiscal Year Ending August 31		2016
Annual Investment Report (Including Deposits)		http://www.twu.edu/media/documents/controller/Annual-Investment-Report-FY16.pdf
Responses to the "Three Questions" (external managers; soft dollars; related endowments or foundations) as of fiscal year end NOTE: Responses can be added to Annual Investment Report or posted separately	Q1) Outside Investment Advisors or Managers A1) Yes Q2) Soft Dollar Arrangements A2) No Q3) Independent endowment or foundation? A3) Yes	
Quarterly Investment Reports for most recent fiscal year (per Article III, Rider 5)		
Fiscal Quarter Ending Nov. 30	http://www.twu.edu/media/documents/controller/2016-fy-01-quarter.pdf	
Fiscal Quarter Ending Feb. 28/29	http://www.twu.edu/media/documents/controller/2016-fy-02-quarter.pdf	
Fiscal Quarter Ending May 31	http://www.twu.edu/media/documents/controller/2016-fy-03-quarter.pdf	
Fiscal Quarter Ending Aug. 31	http://www.twu.edu/media/documents/controller/2016-fy-04-quarter.pdf	
Current Investment Policy (or Policies, if more than one)	http://www.twu.edu/regents/policy-manual/policies/403/	
Only for institutions subject to PFIA: Date of, or Web link to, latest investment policy review & approval by governing board <i>See PFIA, Section 2256.005(e), Texas Gov't Code</i> (If institution has multiple policies, use a separate row for each policy)	Texas Woman's University Investment Policy Board Approval of Investment Policy	http://www.twu.edu/regents/policy-manual/policies/403/ http://www.twu.edu/regents/approved-minutes/2016/
	Texas Woman's University Endowment Investment and Distribution Policy	http://www.twu.edu/regents/policy-manual/policies/405/
Person to contact at institution if SAO needs more information	Carolyn Whitlock, Associate Vice President Finance, Controller & Treasury	
	(940) 898-3534	
	cwhitlock2@twu.edu	
Submission Instructions		
Submit Tracking Report to SAO as an attachment at submitreports@sao.state.tx.us		
Include in "Subject" line: "Annual Investment Tracking Report" and Name of Higher Education Institution		