

ANNUAL TRACKING REPORT FOR INVESTMENT REPORTING TO THE STATE AUDITOR'S OFFICE (SAO) BY HIGHER EDUCATION INSTITUTIONS

SUBMIT TO THE SAO BY DECEMBER 31 (see submission instructions below)

For additional information about the SAO's requirements for higher education reporting, see www.sao.state.tx.us/services.html

Name and State Agency Number of Higher Education Institution	Texas Woman's University - Agency# 731	
Annual Tracking Report for Fiscal Year Ending August 31	2014	
<u>Annual Investment Report (Including Deposits)</u>	http://www.twu.edu/controller/idt.asp	
Responses to the "Three Questions" (external managers; soft dollars; related endowments or foundations) as of fiscal year end	Q1) Outside Investment Advisors or Managers	A1) Yes
	Q2) Soft Dollar Arrangements	A2) No
	Q3) Independent endowment or foundation?	A3) Yes
NOTE: Responses can be added to <u>Annual Investment Report</u> or posted separately	Complete answers to the "Three Questions" is provided in the annual Investment Report accessed through the link shown above.	
<u>Quarterly Investment Reports</u> for most recent fiscal year (per Article III, Rider 5)		
Fiscal Quarter Ending Nov. 30	http://www.twu.edu/downloads/controller-home/Investment.Report-Q1FY14.pdf	
Fiscal Quarter Ending Feb. 28/29	http://www.twu.edu/downloads/controller-home/Investment.Report_Q2FY14_Revised.pdf	
Fiscal Quarter Ending May 31	http://www.twu.edu/downloads/controller-home/InvestmentReportQ3FY14_REVISED.pdf	
Fiscal Quarter Ending Aug. 31	http://www.twu.edu/downloads/controller-home/InvestmentReportQ4FY14.pdf	
<u>Current Investment Policy</u> (or Policies, if more than one)	http://www.twu.edu/regents/investment-policy.asp http://www.twu.edu/regents/endowment-investment-and-distribution-policy.asp	
Only for institutions subject to PFIA: Date of, or Web link to, latest investment policy review & approval by governing board <i>See PFIA, Section 2256.005(e), Texas Gov't Code</i> (If institution has multiple policies, use a separate row for each policy)	The Texas Woman's University Investment Policy Board Approval of Investment Policy	http://www.twu.edu/regents/investment-policy.asp http://www.twu.edu/regents/august-15-2014.asp
	The Texas Woman's University Endowment Investment and Distribution Policy	http://www.twu.edu/regents/endowment-investment-and-distribution-policy.asp
Person to contact at institution if SAO needs more information	Kelly McCullar, Associate Vice President-Finance, Controller & Treasury	
	940.898.3534	
	kmccullar@mai.twu.edu	
<u>Submission Instructions</u>		
Submit Tracking Report to SAO as an attachment at submitreports@sao.state.tx.us		
Include in "Subject" line: "Annual Investment Tracking Report" and Name of Higher Education Institution		